



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **3****Complete if Known**

Application Number	10/810,259
Filing Date	March 26, 2004
First Named Inventor	KLEIN, ENRIQUE J.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020460-000760US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
AS	1	4,564,014	01-14-1986	Fogarty et al.	
	2	4,733,665	03-29-1988	Palmaz	
	3	4,776,337	10-11-1988	Palmaz	
	4	5,064,435	11-12-1991	Porter	
	5	5,087,247	02-11-1992	Horn et al.	
	6	5,102,417	04-07-1992	Palmz	
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	9	5,195,984	03-23-1993	Schatz	
	10	5,228,441	07-20-1993	Lundquist	
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	33	5,725,572	03-10-1998	Lam et al.	
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	35	5,755,776	05-26-1998	Al Saadon	
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	41	5,893,868	09-15-1998	Hanson et al.	

Examiner Signature	/Alvin Stewart/	Date Considered	11/03/2006
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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			Filing Date	March 26, 2004	
			First Named Inventor	KLEIN, ENRIQUE J.	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	2	of	3	Attorney Docket Number	020460-000760US

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
AS ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓	42	5,922,021		07-13-1999	Jang	
	43	5,964,798		10-12-1999	Imran	
	44	5,980,531		03-14-2000	Goodin et al.	
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	51	6,174,329		01-16-2001	Callol et al.	
AS	52	6,402,777		06-11-2002	Globerman et al.	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code* (if known)				
AS	53	CA	2079944		Apr., 1993			<input type="checkbox"/>
↓ ↓ ↓ ↓ ↓	54	EP	481365		Apr., 1992			<input type="checkbox"/>
	55	EP	540290		May., 1993			<input type="checkbox"/>
	56	EP	662307		Jul., 1995			<input type="checkbox"/>
	57	EP	750890		Jan., 1997			<input type="checkbox"/>
	AS	58	WO	92/06734		Apr., 1992		

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
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		Filing Date	March 26, 2004		
		First Named Inventor	KLEIN, ENRIQUE J.		
		Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	3	of	3	Attorney Docket Number	020460-000760US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AS	59	Hodgson, "Focal stenting: Does it make sense?" Catheterization and Cardiovascular Diagnosis (1997) 42:137.	
	60	Lowe et al., "New balloon expandable stent for bifurcation lesions" Catheterization and Cardiovascular Diagnosis (1997) 42:235-236.	
	61	Mudra et al., "One balloon approach for optimized Palmaz-Schatz Stent Implantation: The MUSCAT Trial" Catheterization and Cardiovascular Diagnosis (1997) 42:130-136.	
	62	Product Brochure for "Freedom.TM. Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 3 pages total.	
	63	Product Brochure for "V-Flex.TM. Coronary Stent" by Global Therapeutics, Inc., 2150 West 6th Avenue, Broomfield, CO, 80020 USA, 2 pages total.	
	64	Product Brochure for "Tapered USCI Sprint.TM. Over-the-wire Balloon Dilation Catheter with Banka.TM. Tapered Balloon" by Bard, 1200 Technology Park Drive, Billerica, MA, 01821-7025 USA, 2 pages total.	
	65	Product Brochure for "Jostent.RTM. Plus and Jostent.RTM. Flex" by Jomed International AB, Drottninggatan 94, S-252 21 Helsingborg, Sweden, 4 pages total.	
	66	Product Brochure for "The Cat.TM." by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	
↓	67	Product Brochure for "Paragon Coronary Stent.TM." by Progressive Angioplasty Systems Canada Inc., 5250 Ferrier Street, Suite 406, Montreal, Quebec, H4P 1L4 Canada, 2 pages total.	
AS	68	Product Brochure for "FocusStent.TM." by CardioVascular Dynamics, Inc., 13844 Alton Parkway, Suite 140, Irvine, CA 92718 USA, 2 pages total.	

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